



Homeland
Security

DATE: September 27, 2024

TO: [REDACTED]

FROM: [REDACTED]

Grants and Financial Assistance Division (GFI)
Office of Procurement Operations/OCPO

RE: Obligation for Grant Award

I have awarded the grant award identified below. Attached please find the award documents for the Recipient of this action.

The specific grant award to be obligated is:

Recipient: County of Hawaii
25 Aupuni St
Hilo, HI 96720-4245

UEI: F7S9S5D2CSP3

Agreement Number: 24CISREM00009-01-00

Amount: \$1,000,000

CAN code: CC42

TAS: 7040566

If you have questions concerning this matter, please contact me at marsha.mathis@hq.dhs.gov.

Please post this obligation, per the attached, and send me the screen shots as soon as possible afterwards. **Please also re-confirm the CAN and TAS assignments outlined above as this exact information will be used to authorize funds in the PMS payment system.**

cc (via email): [REDACTED]

1. DATE ISSUED MM/DD/YYYY		1a. SUPERSEDES AWARD NOTICE dated	
09/27/2024		except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO.			
97.120 - Project Grants			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 24CISREM00009-01-00		5. TYPE OF AWARD	
Formerly		Other	
4a. FAIN 24CISREM00009		5a. ACTION TYPE New	
6. PROJECT PERIOD		MM/DD/YYYY	
From 09/30/2024		Through 09/29/2026	
7. BUDGET PERIOD		MM/DD/YYYY	
From 09/30/2024		Through 09/29/2026	
8. TITLE OF PROJECT (OR PROGRAM)			

SPROUT UP: Building a Medical Emergency Communication and Response Network in Hawaii County Rural Communities

9a. GRANTEE NAME AND ADDRESS
COUNTY OF HAWAII
25 Aupuni St
Hilo, HI 96720-4245

10a. GRANTEE AUTHORIZING OFFICIAL
920 Ululani Street
Hilo, HI 96720

9b. GRANTEE PROJECT DIRECTOR
920 Ululani Street
Hilo, HI 96720

10b. FEDERAL PROJECT OFFICER
4200 Wilson Blvd
CISA-ECD
Arlington, VA 20598

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		
I Financial Assistance from the Federal Awarding Agency Only		
II Total project costs including grant funds and all other financial participation		
a. Salaries and Wages	0.00	
b. Fringe Benefits	0.00	
c. Total Personnel Costs	0.00	
d. Equipment	145,000.00	
e. Supplies	380,000.00	
f. Travel	12,000.00	
g. Construction	0.00	
h. Other	0.00	
i. Contractual	338,000.00	
j. TOTAL DIRECT COSTS	875,000.00	
k. INDIRECT COSTS	125,000.00	
l. TOTAL APPROVED BUDGET	1,000,000.00	
m. Federal Share	1,000,000.00	
n. Non-Federal Share	0.00	

12. AWARD COMPUTATION			
a. Amount of Federal Financial Assistance (from item 11m)		1,000,000.00	
b. Less Unobligated Balance From Prior Budget Periods		0.00	
c. Less Cumulative Prior Award(s) This Budget Period		0.00	
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION		1,000,000.00	
13. Total Federal Funds Awarded to Date for Project Period		1,000,000.00	
14. RECOMMENDED FUTURE SUPPORT			
(Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	
15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:			b
a. DEDUCTION			
b. ADDITIONAL COSTS			
c. MATCHING			
d. OTHER RESEARCH (Add / Deduct Option)			
e. OTHER (See REMARKS)			
16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:			
a. The grant program legislation			
b. The grant program regulations.			
c. This award notice including terms and conditions, if any, noted below under REMARKS.			
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.			
In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.			

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)

GRANTS MANAGEMENT OFFICIAL:	
7th and D Street SW	
Washington DC , DC 20407	

17.OBJ CLASS 4102	18a. VENDOR CODE 1996000567A6	18b. EIN 996000567	19a. UEI F7S9S5D2CSP3	19b. DUNS 094636073	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION	
21. a. CC427040566	b. CISREM00009A	c. REM1	d. \$1,000,000.00	e. 7040566	
22. a.	b.	c.	d.	e.	
23. a.	b.	c.	d.	e.	

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 09/27/2024
GRANT NO. 24CISREM00009-01-00	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
10/01/2024	12/31/2024	Quarterly	01/30/2025
01/01/2025	03/31/2025	Quarterly	04/30/2025
04/01/2025	06/30/2025	Quarterly	07/30/2025
07/01/2025	09/30/2025	Quarterly	10/30/2025
10/01/2025	12/31/2025	Quarterly	01/30/2026
01/01/2026	03/31/2026	Quarterly	04/30/2026
04/01/2026	06/30/2026	Quarterly	07/30/2026
07/01/2026	09/29/2026	Final	01/27/2027

AWARD ATTACHMENTS

COUNTY OF HAWAII

24CISREM00009-01-00

1. Award Letter
2. Pre-Award risk-assessment
3. REMCDP T&Cs
4. DHS Standard T&Cs



Homeland
Security

September 27, 2024

[REDACTED]
County of Hawaii
25 Aupuni St
Hilo, HI 96720-4245

RE: Agreement Number: 24CISREM00009

Congratulations on being selected as a recipient of the U.S. Department of Homeland Security (DHS) Cybersecurity and Infrastructure Security Agency, Rural Emergency Medical Communications Demonstration Project, Funding Opportunity Number: DHS-24-CISA-120-REMCDP-001. Please read all of the information contained in this award letter carefully.

Your application was awarded in the amount of **\$1,000,000.00**. Before funds associated with this award can be authorized, the following information must be submitted to [REDACTED] **no later than October 9, 2024:**

- A revised budget narrative which aligns with the object class categories specified on the SF-424A. Total costs must not exceed \$1,000,000 and please ensure the elements of each object class category are clearly described and organized.
- Pre-Award Risk Assessment Questionnaire as attached.

Please note that construction costs are not allowable expenses for the federal funds awarded for the Rural Emergency Medical Communications Demonstration Project.

Enclosed are the DHS Standard Administrative Terms and Conditions and the Grants and Financial Assistance Division Terms and Conditions as administered by the Department of Homeland Security (DHS). Please read closely these terms and conditions. Failure to comply with the terms, conditions, or reporting requirements may result in a draw down restriction being placed on your Payment Management System account or denials of future funding.

In addition to the general Terms and Conditions your award contains Award Specific Terms and Conditions which apply to this grant agreement as well as a statement of substantial involvement by DHS. Again, please carefully read all award terms and conditions. Following the enclosed guidelines are critical to the successful implementation of your project. Your success is important to DHS. Please contact us if you have any questions about getting your project started.

The Department of Homeland Security's Grants and Financial Assistance Division utilizes the GrantSolutions grants management system to manage all financial assistance awards. Please see below for important information regarding account establishment and navigating the system.

Use of GrantSolutions Grants Management System

GrantSolutions is an online Federal grant management system. It provides a venue for the Department of Homeland Security (OPO/GFAD) to work with you, the grantee, to manage your grant. GrantSolutions is a web-based system, therefore you can access information about your grant anywhere you have Internet access.

All users within the GrantSolutions system must have an account established. Please see the following link to access the Grantee User Account form: [Recipient User Account Request Form](#). Accounts should be established for the Program Director, Authorizing Official, and Financial officers at your organization as well as any other users who require access and notifications of award activity

All Grantee User Account forms should be signed by the requesting user's supervisor **and** submitted directly to the GrantSolutions Help Desk at [REDACTED]

User Account Instructions:

- All paper account requests must be made by the prospective user's supervisor or other authorized organization official.
- The requester must complete and sign the first section of the [Recipient User Account Request Form](#).
- The person who will be receiving access needs to sign and date the second part of the form (Data Access/Security Compliance Statement)
- Email all required documents to the GrantSolutions Help Desk at [REDACTED] or fax to (703) 288-5390. Please note we cannot accept emails to the Help Desk over 5 MB in size. If you have questions, please call the GrantSolutions Support Desk at (866) 577-0771.

Reminder: All Grantee User Account forms should be submitted directly to the GrantSolutions Help Desk at: help@grantsolutions.gov **no later than October 15, 2024.**

Use of Payment Management System

In addition to GrantSolutions, GFAD uses the Department of Health and Human Services (DHHS), Payment Management System (PMS) for payment of funds under all awards. PMS is a full-service central payment and cash management system which requires you to establish a user account. You are encouraged to immediately complete the required PMS access forms **no later than October 15, 2024**. Otherwise, payments for any work performed under the grant program will not be processed until these forms are properly submitted to PMS, and an account has been established for your organization. Please note the following:

If your organization has never received grant funds from the DHS Grants and Financial Assistance Division, you must complete the New User and Account Establishment process directly on the PMS

website. Additional information may be found at: <https://pms.psc.gov/grant-recipients/access-newuser.html> . For assistance getting set up in PMS please contact the Help Desk at 1-877-614-5533 or [REDACTED]

When completing the SF-1199A form, Section 2 should contain the following information:

DHS/MGMT/OCPO/OPO/GFAD
Grants and Financial Assistance Division
MS 0080
6595 Springfield Center Dr.
Springfield, VA 20598-0080

Lastly and as a reminder, under the terms and conditions of this award, your organization must maintain an active System for Award Management (SAM) registration at <https://www.sam.gov/portal/public/SAM/>. The account must remain active until you send the final financial report or until you receive the final payment, whichever is later. If your organization's SAM registration expires during the required period, the Service will suspend payment under this [and all other service] awards to your organization until the registration is updated by your organization.

If you have questions concerning this award, please contact the appropriate DHS official listed below.

Program/Technical matters

[REDACTED]
Department of Homeland Security
Cybersecurity and Infrastructure Security Agency
[REDACTED]

Grant/Fiscal related matters

[REDACTED]

Sincerely,

[REDACTED]
Grants Officer
Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer



Homeland
Security

**ADMINISTRATIVE AND FINANCIAL REVIEW QUESTIONNAIRE FOR NEW
RECIPIENTS
DHS Assistance Agreement Reviews**

Introduction

Your organization has been identified as a possible recipient of a federal award from the Department of Homeland Security (DHS), Grants and Financial Assistance Division (GFAD). As a recipient of federal funds, 2 C.F.R. 200.205 requires DHS perform a pre-award risk-based assessment of your organization's ability to perform its federal award obligations. This questionnaire asks about your organization's administrative and financial policies, procedures, accounting and management of federal assistance agreements.

This questionnaire requires "Yes" or "No" responses. Please check the appropriate box for each question. In some cases, a written response is required. Please type or write your responses in the question box. You may also use the space included on the last page and include or attach additional sheets if necessary to provide a full response.

This questionnaire and any necessary follow-up must be completed prior to final application decisions. Therefore it is important that this questionnaire be completed, signed and returned **within 10 business days** of receipt.

Recipient Name and Address:	
Website URL:	

Responding Individuals			
Name	Title	Phone Number(s)	E-mail

Name and Title of Responsible Individual	
Signature of Responsible Individual <i>I certify that the information contained in this questionnaire is complete and correct to the best of my knowledge and understand that failure to provide complete and correct information may result in award termination or other remedies.</i>	Date

I. PRIOR FEDERAL ACTIVITY

1. Has your organization received funding from any federal agencies? Yes ☐ No ☐

If yes, list the awards for the last ten years below.

Federal Agency	Funding Amount	Budget Period	Project Title

2. Does your organization have the following documents for each of your federal financial assistance agreements that are open or were closed within the past 3 years?

	Yes	No	N/A
a. Original application and certifications (SF 424, 424A, et al.);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Work plans and/or statement of work;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Initial award and all amendment documents;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Request for and approvals of scope and/or budget changes;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Federal Financial Reports and reimbursement requests, if applicable;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Payment requests backed up by financial records to support the request;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Progress/Performance reports;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Contracts/Subgrants;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
i. Purchases;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
j. Consultant agreements;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
k. Correspondence and approvals, including emails to and from federal award specialists	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

3. In the last 3 years, has a federal agency categorized your organization as “high risk”? Yes ☐ No ☐

If yes, provide a detailed explanation.

4. In the last 3 years, has a federal agency placed special terms and conditions, denied funding or increased monitoring on any of your federal awards due to your organization’s non-performance of its project obligations or any other reason?

Yes ☐ No ☐

If yes, provide a detailed explanation.

5. Has your organization ever had a federal award or subaward terminated or canceled “for cause” due to your organization’s non-performance of its project obligations or any other reason?

Yes ☐ No ☐

If yes, provide a detailed explanation.

6. Has your organization or any employees, students or agents who may serve as key personnel on a federal award ever been debarred, suspended or otherwise excluded from or found ineligible for participation in federally supported programs or activities?

Yes ☐ No ☐

If yes, provide a detailed explanation.

II. ACCOUNTING AND FINANCIAL MANAGEMENT

1. Is your organization familiar with the Uniform Administrative Requirements, Cost Principles and Audit Requirements (2 CFR 200) for federal awards which outline the regulation and guidelines for the determination of costs in connection with federal assistance agreements?

Yes ☐ No ☐

2. OMB Uniform Guidance requires organizations receiving federal assistance agreements to have written policies and procedures for the following areas. Do your policies and procedures address the items described below?

	Yes	No	N/A
a. Personnel, including qualifications for each position, duties and responsibilities, salary ranges, EEO, annual performance appraisals, types and levels of fringe benefits, and standards of conduct governing duties and responsibilities including disciplinary actions for not adhering to the standards, for employees engaged in the award and administration of grants.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
b. Time reporting, tracked to each project;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
c. Redistributions (Chargebacks); (i.e., other organizational department costs; written, established rates required);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
d. Payroll processing and internal controls;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
e. Overtime (if allowed);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
f. Vacation and Sick Leave (if offered by your organization);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
g. Compensatory time (if allowed);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
h. Equipment and property purchases including cost and price analysis, purchase, use of, inventory and disposition of at the end project.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
i. Electronic Funds Transfer (EFT) drawdowns from Federal payment accounts. Does your policy address who is authorized to request payment from the Federal Government; what procedures are used to verify that the requests are accurate; what support documents are required; and when drawdown of funds will occur?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
j. Receipt and deposit of advance payments;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
k. Records retention;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
l. Travel authorizations, vouchering after the trip and, if required, trip reporting;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
m. Procurement standards for supplies, expendable property, equipment, real property and services. Standards for contracting, purchasing, consultant agreements, sub-awards or grants (if applicable, especially for monitoring sub awardees) and other types of awards that transfer federal funds outside of your organization;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
n. Provisions for utilizing Small Business, Minority Owned Firms, Women-Owned Business Enterprises, and Labor Surplus Area Firms (where possible);	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
o. Program Income. Is it identified, authorized, accounted for, and are limitations placed on its use;	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
p. Cost sharing, matching and In-Kind contributions. Is it identified, accounted for and reported?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

3. Does your organization's accounting and financial management system(s) follow Generally Accepted Accounting Principles (GAAP)? Yes ☐ No ☐
4. Does your organization have a written accounting manual or written policies and procedures for managing finances? Yes ☐ No ☐
5. Does your organization have written procedures to ensure that costs charged to federal grants are reasonable, allocable and allowable? Yes ☐ No ☐
6. Does your organization have requirements for adequate separation of duties or internal controls so that the funds are safeguarded and used only for allowable costs? Yes ☐ No ☐
7. Does your organization's financial management system(s) provide effective control over, and accountability for all funds, property and other assets, including ensuring that all such assets are used solely for purposes authorized by the awards? Yes ☐ No ☐
8. Does your financial management system identify the source and application of funds with records that show obligations, unobligated balances, assets, outlays, income and interest? Yes ☐ No ☐
9. Does your organization's financial management system track revenues and expenditures and provide financial results separately for each Federal assistance agreement project or program? Yes ☐ No ☐
10. Does your organization's financial system provide for the control and accountability of Federal funds, property/equipment and other assets, including controls to prevent expenditures in excess of approved, budgeted amounts? Yes ☐ No ☐
11. Does your organization monitor allowable costs to ensure they are charged to the grant within the specified period? Yes ☐ No ☐
12. Does your organization have procedures for receiving and depositing advanced payments or other federal funds into an interest bearing account and for minimizing the time elapsing between receiving the advanced funds and disbursing them (usually within 5 business days) for the intended expenditures? Yes ☐ No ☐
13. Does your organization maintain source documentation to support entries into your financial or accounting system? Yes ☐ No ☐

14. Does your organization have procedures for reporting and updating information for subrecipients (including executive compensation) receiving \$25,000 or more in assistance in the Federal Funding Accountability & Transparency Act Subaward Reporting System (FSRS)? (www.fsrs.gov) Yes ☐ No ☐

15. Does your organization have procedures for preparing and submitting Interim/Final Federal Financial Reports (SF-425) as required at least annually by a federal agency? Yes ☐ No ☐

16. Does your organization have a policy for retaining financial and supporting records for a minimum of 3 years after the award is closed? Yes ☐ No ☐

17. Has your organization ever received an independent certified public account (CPA) review or an audit? *(If yes, please attach a copy of the most recent report and any management letters issued.)* Yes ☐ No ☐

18. Did your organization expend more than \$750,000 of federal funds in the most recent fiscal year and subject to 2 CFR Part 200, Subpart F Single Audit (formerly A-133)? Yes ☐ No ☐

- a. If yes, has your Single Audit Report been uploaded to the Federal Audit Clearinghouse (FAC)?** Yes ☐ No ☐ *(If no, please attach a copy.)*
- b. In the past 3 years have any material weaknesses been identified in your organization's single audit reports?** Yes ☐ No ☐

Use this section to provide additional relevant information.

CLEAR

SAVE

COOPERATIVE AGREEMENT TERMS AND CONDITIONS
GRANTS AND FINANCIAL ASSISTANCE DIVISION (GFAD)

In addition to the DHS Standard Terms and Conditions as outlined here: [DHS standard terms and conditions](#), the following Terms and Conditions apply specifically to this award as administered by the Grants and Financial Assistance Division (GFAD):

ARTICLE I. FEDERAL ADMINISTRATIVE TERMS AND CONDITIONS

A. AWARD SPECIFIC TERMS AND CONDITIONS AND/OR RESTRICTIONS

Funds associated with this award are restricted pending receipt of the following information:

- A revised budget narrative which aligns with the object class categories specified on the SF-424A. Total costs must not exceed \$1,000,000 and please ensure the elements of each object class category are clearly described and organized.
- Pre-Award Risk Assessment Questionnaire as attached.

All required information must be submitted to the Grants Officer listed on the Notice of Award no later than October 9, 2024 in order to accept this award.

B. PROGRAM SPECIFIC TERMS AND CONDITIONS

As part of the DHS Standard Terms and Conditions, all DHS grant recipients that wholly or partially provide funding for emergency communication projects and related activities must comply with Appendix D of the SAFECOM Guidance on Emergency Communications Grants (SAFECOM Guidance). Appendix D outlines requirements for any DHS recipient using funds for emergency communication activities. These requirements include alignment to national and state communications plans, project coordination, and technical standards for emergency communications technologies. The SAFECOM Guidance is intended to ensure that federally funded investments are compatible, interoperable, and support the national goals and objectives for improving emergency communications nationwide.

C. DHS SUBSTANTIAL PROGRAMMATIC INVOLVEMENT

CISA will facilitate the implementation of demonstration projects by:

- a. Working with the recipient to ensure that the information, knowledge, and lessons learned gained by the demonstration project recipients are transferred among the program participants and to other interested parties responsible for providing rural medical care.
- b. Working with the recipient and CISA Interoperable Communications Technical Assistance Program (ICTAP) to identify innovative solutions that can be replicated in other rural communities and translate these demonstration projects to modify existing or develop new service offerings (e.g., *CISA Technical Assistance Service Offerings Guide*).
- c. Contributing subject matter expertise to specify data elements, milestones, and measures of success to show the impact of the project execution and enhancement of rural emergency communications and medical care. Given the demonstration project's unique objectives, key milestones and performance measures must be tailored to each recipient based on the innovative nature of the solutions.
- d. Providing assistance to recipients in the form of emails, teleconferences (to include regular quarterly teleconferences), workshops, webinars, training opportunities, and site visits as the Program Office deems necessary. Additionally, the Grant Program Officer can facilitate technical assistance requests, such as land mobile radio coverage mapping, standard operating procedures development, or exercise planning and evaluation.
- e. Reviewing and approving publications, case studies, tools, or templates developed under this project prior to their submission for publication.

ARTICLE II. GENERAL TERMS AND CONDITIONS

A. APPLICABILITY

1. General. The terms and conditions set forth in this document and elsewhere in the Federal Award package will apply to all budget periods for the Federal Award.
2. Post-Award Changes. DHS may revise terms and conditions in this document and other parts of the Federal Award package if it determines that there was an error in the package or otherwise determines that an administrative change must be made to the package. DHS will notify the Recipient in writing of the change and provide an updated Federal Award package document. Once notification occurs, any subsequent drawdown will indicate Recipient acceptance of the changes to the Federal Award.
3. Continuation Awards. If the Federal Award includes more than one budget period, DHS may revise the terms and conditions in this document or elsewhere in the award package when awarding continuation funding and approving an additional budget period. DHS will notify the Recipient of these changes and the Recipient, by applying for continuation award funding, agrees to comply with the revisions, should it receive a continuation award. These revisions would apply only to that budget period and future budget periods and would not apply retroactively to previous budget periods.
4. Applicability of DHS Standard Terms and Conditions to Tribes. The DHS Standard Terms and Conditions are a restatement of general requirements imposed upon recipients and flow down to subrecipients as a matter of law, regulation, or executive order. If the requirement does not apply to Indian tribes or there is a federal law or regulation exempting its application to Indian tribes, then the acceptance by Tribes of, or acquiescence to, DHS Standard Terms and Conditions does not change or alter its inapplicability to an Indian tribe. The execution of grant documents is not intended to change, alter, amend, or impose additional liability or responsibility upon the Tribe where it does not already exist.

B. AMENDMENTS AND REVISIONS

1. The Recipient is required to report deviations from the approved budget and request prior approvals from DHS for budget and program revisions for the Federal Award in accordance with 2 C.F.R. § 200.308. This Federal Award is a [construction/non-construction] award for the purposes of applying the prior approval requirements. Scope or objective changes are generally not permitted unless authorized by law; Recipients should contact DHS with questions about changes to scope or objectives.
2. DHS does not waive any prior approval requirements pursuant to 2 C.F.R. § 200.308(e).
3. For non-construction work under awards where the Federal share is greater than the simplified acquisition threshold (currently \$250,000), per 2 C.F.R. § 200.308(f), the Recipient must obtain prior written approval from DHS for transfers of funds among direct cost categories where the cumulative amount of such transfers exceeds or is expected to exceed 10 percent of the total approved budget.
4. The Recipient must obtain prior written approval from DHS for transfers of funds from direct costs to the indirect costs object class or vice versa.
5. The Recipient must obtain prior written approval from DHS before making any fund or budget transfers between construction and non-construction work.

C. PRIOR APPROVAL REQUIRED

The Recipient shall not, without the prior written approval of the DHS, request reimbursement, incur costs or obligate funds for any purpose pertaining to the operation of the project, program, or activities prior to the approved Budget Period.

D. PERIOD OF PERFORMANCE

a. Period of Performance

1. The Budget Period shall be for a period of 12 months. If applicable, the approval by DHS of subsequent budget periods under the federal award are subject to the availability of funds, program authority, satisfactory performance, compliance with the terms and conditions of the federal award, and a determination that a subsequent budget period is in the best interests of the federal government.
2. If applicable, The Recipient must request prior approval to carry over unobligated balances from one budget period to the following budget period.

b. Period of Performance Extension Request

1. Extensions to the Period of Performance can only be authorized in writing by the DHS Grants Officer.
2. The extension request shall be submitted to the DHS Grants Officer sixty (60) days prior to the expiration date of the performance period.
3. Requests for time extensions to the Period of Performance will be considered, but will not be granted automatically, and must be supported by adequate justification to be processed. The justification is a written explanation of the reason(s) for the delay; an outline of remaining resources/funds available to support the extended Period of Performance; and a description of performance measures necessary to complete the project. Extension requests shall not be processed without up- to-date performance and financial status reports and adequate justification.
4. DHS has no obligation to provide additional resources/funding due to an extension.

E. CLOSEOUT REPORTING PERIOD

Per 2 C.F.R. § 200.344(a), the Recipient has 120 calendar days after the end of the period of performance to submit all final financial, performance, and other reports required by the terms and conditions of this award.

F. LIQUIDATION PERIOD

Per 200.344(b), the recipient has 120 calendar days after the end of the period of performance to liquidate all obligations incurred under this award.

G. FINANCIAL REPORTS

1. Quarterly Federal Financial Reports – The Recipient must submit a Federal Financial Report (SF-425) into the Grant Solutions system no later than thirty (30) days after the end of the reporting period. Reports are due on 1/30, 4/30, 7/30 and 10/30. The report shall be submitted via Grant Solutions using the guidance found here: [Grant Solutions Federal Financial Report](#)
2. Final Federal Financial Report – The Recipient must submit a Federal Financial Report (SF-425) into the Grant- Solutions system no later than 120 days after the end of the Project Period end date. The report shall be submitted via Grant Solutions using the guidance found here: [Grant Solutions Federal Financial Report](#)

H. PERFORMANCE REPORTS

1. Quarterly Performance Reports – The Recipient must submit performance reports into the GrantSolutions system no later than thirty (30) days after the end of the reporting period. Reports are due on 1/30, 4/30, 7/30 and 10/30. The report shall be submitted via www.GrantSolutions.gov using the guidance found here: [Grant Solutions Performance Progress Report](#).

2. Performance Report Content (non-construction):

The performance reports must contain the following information. If the Recipient considers any of the following information to be proprietary, the Recipient must identify the beginning that information with ****Begin Proprietary Information**** and the end of the information with ****End Proprietary Information****.

- i. Description relating financial data and accomplishments to performance goals and objectives of the Federal Award.
- ii. A comparison of actual accomplishments to the objectives of the Federal Award established for the reporting period.
- iii. If applicable, the reasons why established goals were not met.
- iv. Analysis and explanation of cost overruns or high unit costs.
- v. Any additional pertinent information as determined by the program.

Final Performance Report – the Recipient must submit the Final Performance Report into the GrantSolutions system no later than 120 days after the expiration of the Project Period. The Final Performance Report shall be submitted using the guidance found here:

[Grant Solutions Performance Progress Report.](#)

I. PAYMENT

A. Federal Payment

1. Payments - General

DHS makes funds available for drawdown by the Recipient in the U.S. Department of Health and Human Services Payment Management System ("PMS"). The Recipient will submit payment requests under the Federal Award through PMS and PMS will deposit payments through electronic funds transfer to the Recipient's bank.

2. Payment Method

DHS will pay the Recipient in advance pursuant to 2 C.F.R. § 200.305(b)(1). Under this method, the Recipient's payment requests submitted to PMS must be limited to the minimum amounts needed and be timed in accordance with its actual, immediate cash requirements in carrying out the scope of work under the Federal Award. The timing and amount of advance payments must be as close as is administratively feasible to the Recipient's actual disbursements for direct costs and proportionate share of indirect costs and must not be held for more than three business days before the funds are disbursed. The Recipient must disburse any funds available from program income, rebates, refunds, contract settlements, audit recoveries, and interest earned on such funds before requesting additional cash payments. When these requirements are not met, the Recipient will be required to be on a reimbursement for costs incurred method.

3. Property Interest

The Recipient and any subrecipients have no property interest in the funds made available by DHS in the Recipient's PMS account. At any time during or after the period of performance of the Federal Award, DHS may adjust the amounts available in Recipient's PMS account due to amendments to the Federal Award, partial or full terminations, closeouts, or other reasons.

J. INDIRECT COSTS

2 C.F.R. § 200.211(b)(15) requires the terms of the award to include the indirect cost rate for the federal award. If applicable, the indirect cost rate for this award is stated in the budget documents or other materials approved by DHS and included in the award file.

K. TANGIBLE PERSONAL PROPERTY

1. The Recipient must provide a property report for all equipment acquired under the Federal Award with a current per unit fair market value of \$10,000 or more when it submits the final performance report for the Federal Award. The Recipient will submit the property report using the Standard Form (SF)-428 (Tangible Personal Property Report). The SF-428 must include

an attached document providing the description of the property, a serial number or other identification number, the source of funding for the property (including the Federal Award Identification Number (FAIN)), who holds title, the acquisition date, and cost of the property, percentage of federal participation in the project costs for the Federal Award under which the property was acquired, the location, use and condition of the property, and any ultimate disposition data including the date of disposal and sale price of the property.

2. DHS reserves the right to direct the Recipient to transfer title to the equipment to the Federal Government or to an eligible third party. If DHS informs the Recipient that it will exercise this right, then the Recipient—when submitting the final property report in paragraph D.2—will also submit a SF-428-B (Final Report) requesting disposition instructions.
3. If DHS does not exercise the right to direct the Recipient to transfer title to the equipment to the Federal Government or an eligible third party, the Recipient must request disposition instructions from DHS when original or replacement equipment acquired under the Federal award is no longer needed for the original project or program or for other activities currently or previously supported by a Federal awarding agency. The Recipient will submit a disposition request using the SF-428 (Tangible Personal Property Report) and SF-428-C (Disposition Request/Report).

L. ACCESS TO RECORDS

DHS, Inspectors General, the Comptroller General of the United States, and any of their authorized representatives have the right of access to any documents, papers, or other records of the Recipient and subrecipients as well as their contractors and subcontractors pertaining to the Federal Award to make audits, examinations, excerpts, and transcripts. The right also includes timely and reasonable access to the personnel of the Recipient, any subrecipients, contractors of the Recipient or subrecipient, and subcontractors to those contractors.

The Recipient must include in any prime contract the requirement for the prime contractor to provide access to records to DHS, Inspectors General, the Comptroller General of the United States, and any of their authorized representatives and must require the prime contractor to place this same requirement in all subcontracts. The Recipient must also include in any subaward the requirement for the subrecipient to provide access to records to DHS, Inspectors General, the Comptroller General of the United States, require the subrecipient to place this requirement in all prime contracts, and require the subrecipient to require a prime contractor to include this requirement in all subcontracts.

The Recipient and subrecipients as well as their contractors and subcontractors shall retain financial records, supporting documents, statistical records, and all other records pertinent to this Award for a period of three years from the date of submission of the final expenditure report.

M. ENVIRONMENTAL PLANNING AND HISTORIC PRESERVATION REVIEW (EHP)

DHS funded activities that may require an Environmental Planning and Historic Preservation (EHP) review are subject to the DHS EHP review process. This review does not address all federal, state, and local requirements. Acceptance of federal funding requires the recipient to comply with all federal, state, and local laws.

DHS is required to consider the potential impacts to natural and cultural resources of all projects funded by DHS grant funds, through its EHP review process, as mandated by: the National Environmental Policy Act; National Historic Preservation Act of 1966, as amended; National Flood Insurance Program regulations; and any other applicable laws and executive orders. General guidance for DHS's EHP process is available at [DHS Compliance with the National Environmental Policy Act | Homeland Security](#). Specific applicant guidance on how to submit information for EHP review depends on the individual grant program and applicants should contact their Grants Officer to be put into contact with EHP staff responsible for assisting their specific grant program. The EHP review process must be completed before funds are released to carry out the proposed project; otherwise, DHS may not be able to fund the project due to noncompliance with EHP laws, executive orders, regulations, and policies.

If ground disturbing activities occur during construction, applicant will monitor ground disturbance, and if any potential archaeological resources are discovered the applicant will immediately cease work in that area and notify the pass-through entity, if applicable, and DHS.

N. COMPLIANCE WITH EXPORT CONTROLS

Activities performed by the Recipient and any Recipient institution under this Award may or may not be subject to U.S. export control regulations. The Recipient and any Recipient institution shall conduct all such activities, to include any and all DHS-funded research and development, acquisitions, and collaborations in full compliance with all U.S. export controls—to include but not limited to the Export Administration Regulations (EAR), the International Traffic in Arms Regulations (ITAR), and the Office of

Foreign Assets Control (OFAC) Regulations. The Recipient and any Recipient institution will ensure that all legal requirements for compliance with U.S. export controls are met prior to transferring commodities, technologies, technical data, or other controlled information to a non-U.S. person or entity.

O. PATENT RIGHTS AND DATA RIGHTS

Patent rights

The Recipient is subject to applicable regulations governing patents and inventions, including government-wide regulations, 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements."

Invention Disclosure and Related Requirements

The clause at 37 CFR 401.14, "Standard Patent Rights Clauses," is incorporated by reference herein. 37 CFR 401.14(c)(1) requires the disclosure of each subject invention to the Federal Agency within two months after the inventor discloses it in writing to contractor personnel responsible for patent matters. Under 35 U.S.C. 201(d), an invention means any invention or discovery which is or may be patentable or otherwise protectable under Title 35 of the U.S. Code, or any novel variety of plant which is or may be protectable under the Plant Variety Protection Act. Invention disclosure statements shall be made by creating an invention record using the Interagency Edison system website at: <http://www.iedison.gov>.

Rights to Copyrighted Work

The Recipient may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under the award. The Recipient grants the Government a royalty free, nonexclusive and irrevocable license to reproduce, publish, or otherwise use the work for Federal purposes, and to authorize others to do so.

Data rights

1. General Requirements:

The Government has the right to:

- a. Obtain, reproduce, publish, or otherwise use the data produced under a Federal award; and
- b. Authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes.

Data' means recorded information, regardless of form or the media on which it may be recorded.

2. Additional requirements for this Award.

- a. Requirement: If the Government believes that it needs additional research data that was produced under this Award, the Government may request the research data and the Recipient agrees to provide the research data within a reasonable time.
- b. Applicability: The requirement in paragraph 2.a of this section applies to any research data that are:
 1. Produced under this Award, either as a Recipient or sub-recipient;
 2. Published, which occurs either when:
 - a. The research data is published in a peer-reviewed scientific or technical journal; or
 - b. DHS publicly and officially cites the research data in support of an agency action that has the force and effect of law.

3. Requirements for sub-awards: The Recipient agrees to include in any sub-award made under this Agreement the requirements of this award term (Patent Rights and Data Rights) and the DHS Standard Terms and Conditions award term (Copyright).

4.

P. SITE VISITS

DHS, Inspectors General, the Comptroller General of the United States, or any of their authorized representatives may make site visits as warranted by Program needs to the Recipient and subrecipients as well as their contractors and subcontractors pursuant to 2 C.F.R. § 200.329(f). The Recipient must include in any prime contract the requirement for the prime contractor to provide access to DHS for site visits and require the prime contractor to place this same requirement in all subcontracts. The Recipient must also include in any subaward the requirement for the subrecipient to provide access to DHS for site visits, requirement for the subrecipient to place this requirement in all prime contracts, and requirement for the subrecipient to require a prime contractor to include this requirement in all subcontracts.

Q. TRAVEL

The Recipient must obtain prior written DHS approval before using Federal Award funding for foreign travel of the Recipient, subrecipient, or their contractors or subcontractors. A request for approval must identify the traveler, purpose of the travel, destination(s), duration of travel, and estimated travel costs. The Recipient must submit any foreign travel request at least 60 days before the travel commences.

R. PUBLICATIONS

1. The Recipient may not publish or make publicly available articles and other documents produced under or as a result of the Federal Award that contain CUI, export-controlled information, and/or sensitive information designated by DHS without express written authorization from DHS. For articles and other documents produced under or as a result of the Federal Award that do not contain CUI, export-controlled information, or sensitive information, the Recipient may publish these articles and other documents and/or make them publicly available in journals, books, trade publications, websites, or other media. The rights of DHS in any articles or documents are set forth in 2 C.F.R. § 200.315.
2. The Recipient must include the following marking on any article or similar document produced under or as a result of the Federal Award:

"This document is based upon work supported by the U.S. Department of Homeland Security under **[insert Grant/Cooperative Agreement number]**. The views and conclusions expressed in this document are those of the authors and do not necessarily represent the views of the U.S. Department of Homeland Security or the United States."

S. TERMINATION PROVISIONS

1. General. The regulations at 2 C.F.R. §§ 200.340-343 set forth the administrative requirements concerning the termination of federal awards. Termination means the ending of a federal award, in whole or in part, at any time before the planned end of the period of performance. As required by 2 C.F.R. § 200.340(b), the purpose of this term and condition is to specify termination provisions applicable to the federal award in addition to those set forth in the regulations.
2. Termination by DHS. The regulation at 2 C.F.R. § 200.340(a) provides that DHS may unilaterally terminate the federal award in whole or part if the Recipient fails to comply with the terms and conditions of the federal award and when, to the greatest extent authorized by law, the federal award no longer effectuates the program goals or agency priorities. When terminating a federal award, the DHS will promptly notify the Recipient in writing via email of the termination that will set forth the reasons for the termination and the effective date of the termination. A Recipient may object and provide written information and documentation challenging the termination electronically via email to DHS within 30 days of receiving the termination notice. The termination notice may provide additional procedures for submitting an objection to the termination.
3. Termination with Consent. The regulation at 2 C.F.R. § 200.340(a) provides that DHS may terminate the federal award in whole or in part with the consent of the Recipient. In that case, DHS will work with the Recipient to identify mutually agreed upon termination conditions including the effective date, and, in the case of partial termination, the portion to be terminated. DHS will provide to the Recipient for concurrence a draft termination notice setting forth the agreed upon termination conditions and, following the Recipient's written concurrence, DHS will issue the final termination notice.
4. Termination by Recipient. The regulation at 2 C.F.R. § 200.340(a) provides that the Recipient may unilaterally terminate the federal award in whole or in part by sending DHS written notification setting forth the reasons for such termination, the

effective date, and, in the case of partial termination, the portion to be terminated. However, if DHS determines in the case of partial termination that the reduced or modified portion of the federal award will not accomplish the purposes for which DHS made the federal award, DHS will provide written notice to the Recipient of the possibility that DHS will terminate the entire federal award and provide the Recipient with the opportunity to withdraw its partial termination request. If the Recipient does not withdraw its request for partial termination within 30 days of receiving the written notice, DHS will initiate procedures to terminate the entire federal award for cause following the procedures in paragraph I.B.2.

5. Costs Incurred After Termination. The regulation at 2 C.F.R. § 200.343 provides that the cost to the Recipient resulting from financial obligations incurred by the Recipient after termination are not allowable unless the federal awarding agency expressly authorizes them in the notice of termination or subsequently authorizes them. This general prohibition does not apply where costs result from financial obligations properly incurred by the Recipient before the termination and are not in anticipation of termination and those costs would otherwise be allowable if the federal award has expired normally at the end of the period of performance. The regulation at 2 C.F.R. § 200.472 sets forth the potentially allowable costs following termination. The allowability of these costs is the same for all types of terminations and do not vary whether DHS unilaterally terminates a federal award, DHS terminates a federal award with consent, or the Recipient unilaterally terminates the federal award. The Recipient should contact DHS in cases where it seeks authorization of costs incurred following the termination of a federal award.

T. MONETARY DAMAGES

Monetary damages are not available to the Recipient in the event of a breach of the grant or cooperative agreement by DHS, such that the United States Court of Federal Claims does not have jurisdiction to render judgment upon any claim against the United States arising under the grant or cooperative agreement under 28 U.S.C. § 1491(a).

U. STANDARD OF REVIEW

The scope of any judicial review for a DHS actions, findings, and conclusions under this cooperative agreement is limited to the standard of review under the Administrative Procedures Act, 5 U.S.C. § 706.

FY 2024 DHS STANDARD TERMS AND CONDITIONS

The Fiscal Year (FY) 2024 Department of Homeland Security (DHS) Standard Terms and Conditions apply to all new federal awards of federal financial assistance (federal awards) for which the federal award date occurs in FY 2024 and flow down to subrecipients unless a term or condition specifically indicates otherwise. For federal awards that may involve continuation awards made in subsequent FYs, these FY 2024 DHS Standard Terms and Conditions will apply to the continuation award unless otherwise specified in the terms and conditions of the continuation award. The United States has the right to seek judicial enforcement of these terms and conditions.

All legislation and digital resources are referenced with no digital links. These FY 2024 DHS Standard Terms and Conditions are maintained on the DHS website at www.dhs.gov/publication/fy15-dhs-standard-terms-and-conditions.

A. Assurances, Administrative Requirements, Cost Principles, Representations, and Certifications

- I. Recipients must complete either the Office of Management and Budget (OMB) Standard Form 424B Assurances – Non- Construction Programs, or OMB Standard Form 424D Assurances – Construction Programs, as applicable. Certain assurances in these documents may not be applicable to your program and the DHS financial assistance office (DHS FAO) may require applicants to certify additional assurances. Applicants are required to fill out the assurances as instructed by the federal awarding agency.

B. Recipients are required to follow the applicable provisions of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in effect as of the federal award date and located at 2 C.F.R. Part 200 and adopted by DHS at 2 C.F.R. § 3002.10. General Acknowledgements and Assurances

All recipients and subrecipients must acknowledge and agree to provide DHS access to records, accounts, documents, information, facilities, and staff pursuant to 2 C.F.R. § 200.337.

- I. Recipients must cooperate with any DHS compliance reviews or compliance investigations.
- II. Recipients must give DHS access to examine and copy records, accounts, and other documents and sources of information related to the federal financial assistance award and permit access to facilities and personnel.
- III. Recipients must submit timely, complete, and accurate reports to the appropriate DHS officials and maintain appropriate backup documentation to support the reports.
- IV. Recipients must comply with all other special reporting, data collection, and evaluation requirements required by law, federal regulation, Notice of Funding Opportunity, federal award specific terms and conditions, and/or federal awarding agency program guidance.
- V. Recipients must complete the DHS Civil Rights Evaluation Tool within thirty (30) days of receiving the Notice of Award for the first award under which this term applies. Recipients of multiple federal awards from DHS should only submit one completed tool for their organization, not per federal award. After the initial submission, recipients are required to complete the tool once every two (2) years if they have an active federal award, not every time a federal award is made. Recipients must submit the completed tool, including supporting materials, to CivilRightsEvaluation@hq.dhs.gov. This tool clarifies the civil rights obligations and related reporting requirements contained in these DHS Standard Terms and

FY 2024 DHS STANDARD TERMS AND CONDITIONS

Conditions. Subrecipients are not required to complete and submit this tool to DHS. The evaluation tool can be found at <https://www.dhs.gov/publication/dhs-civil-rights-evaluation-tool>. DHS Civil Rights Evaluation Tool | Homeland Security

The DHS Office for Civil Rights and Civil Liberties will consider, in its discretion, granting an extension to the 30-day deadline if the recipient identifies steps and a timeline for completing the tool. Recipients must request extensions by emailing the request to CivilRightsEvaluation@hq.dhs.gov prior to expiration of the 30-day deadline.

C. Standard Terms & Conditions

I. Acknowledgement of Federal Funding from DHS

Recipients must acknowledge their use of federal award funding when issuing statements, press releases, requests for proposal, bid invitations, and other documents describing projects or programs funded in whole or in part with federal award funds.

II. Activities Conducted Abroad

Recipients must coordinate with appropriate government authorities when performing project activities outside the United States obtain all appropriate licenses, permits, or approvals.

III. Age Discrimination Act of 1975

Recipients must comply with the requirements of the Age Discrimination Act of 1975, Pub. L. No. 94-135 (codified as amended at 42 U.S.C. § 6101 *et seq.*), which prohibits discrimination on the basis of age in any program or activity receiving federal financial assistance.

IV. Americans with Disabilities Act of 1990

Recipients must comply with the requirements of Titles I, II, and III of the Americans with Disabilities Act, Pub. L. No. 101-336 (1990) (codified as amended at 42 U.S.C. §§ 12101– 12213), which prohibits recipients from discriminating on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities.

V. Best Practices for Collection and Use of Personally Identifiable Information

Recipients who collect personally identifiable information (PII) as part of carrying out the scope of work under a federal award are required to have a publicly available privacy policy that describes standards on the usage and maintenance of the PII they collect. DHS defines PII as any information that permits the identity of an individual to be directly or indirectly inferred, including any information that is linked or linkable to that individual. Recipients may also find the DHS Privacy Impact Assessments: Privacy Guidance and Privacy Template as useful resources respectively.

VI. Civil Rights Act of 1964 – Title VI

Recipients must comply with the requirements of Title VI of the Civil Rights Act of 1964, Pub. L. No. 88-352 (codified as amended at 42 U.S.C. § 2000d *et seq.*), which provides that no person in the United States will, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance. DHS implementing regulations for the Act are found at 6 C.F.R. Part 21. Recipients of an award from the Federal Emergency Management Agency (FEMA) must also comply with FEMA's implementing regulations at 44 C.F.R. Part 7.

FY 2024 DHS STANDARD TERMS AND CONDITIONS

VII. Civil Rights Act of 1968

Recipients must comply with Title VIII of the Civil Rights Act of 1968, Pub. L. No. 90-284 (codified as amended at 42 U.S.C. § 3601 *et seq.*) which prohibits recipients from discriminating in the sale, rental, financing, and advertising of dwellings, or in the provision of services in connection therewith, on the basis of race, color, national origin, religion, disability, familial status, and sex, as implemented by the U.S. Department of Housing and Urban Development at 24 C.F.R. Part 100. The prohibition on disability discrimination includes the requirement that new multifamily housing with four or more dwelling units—i.e., the public and common use areas and individual apartment units (all units in buildings with elevators and ground-floor units in buildings without elevators)—be designed and constructed with certain accessible features. (See 24 C.F.R. Part 100, Subpart D.)

VIII. Copyright

Recipients must affix the applicable copyright notices of 17 U.S.C. §§ 401 or 402 to any work first produced under federal awards and also include an acknowledgement that the work was produced under a federal award (including the federal award number and federal awarding agency). As detailed in 2 C.F.R. § 200.315, a federal awarding agency reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes and to authorize others to do so.

IX. Debarment and Suspension

Recipients must comply with the non-procurement debarment and suspension regulations implementing Executive Orders (E.O.) 12549 and 12689 set forth at 2 C.F.R. Part 180 as implemented by DHS at 2 C.F.R. Part 3000. These regulations prohibit recipients from entering into covered transactions (such as subawards and contracts) with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in federal assistance programs or activities.

X. Drug-Free Workplace Regulations

Recipients must comply with drug-free workplace requirements in Subpart B (or Subpart C, if the recipient is an individual) of 2 C.F.R. Part 3001, which adopts the Government-wide implementation (2 C.F.R. Part 182) of the Drug-Free Workplace Act of 1988 (41 U.S.C. §§ 8101-8106).

XI. Duplicative Costs

Recipients are prohibited from charging any cost to this federal award that will be included as a cost or used to meet cost sharing or matching requirements of any other federal award in either the current or a prior budget period. (See 2 C.F.R. § 200.403(f)). However, recipients may shift costs that are allowable under two or more federal awards where otherwise permitted by federal statutes, regulations, or the federal financial assistance award terms and conditions.

XII. Education Amendments of 1972 (Equal Opportunity in Education Act) – Title IX

Recipients must comply with the requirements of Title IX of the Education Amendments of 1972, Pub. L. No. 92-318 (codified as amended at 20 U.S.C. § 1681 *et seq.*), which provide that no person in the United States will, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any educational program or activity receiving federal financial assistance. DHS implementing regulations are codified at 6 C.F.R. Part 17. Recipients of an award from the Federal Emergency Management Agency (FEMA) must also comply with FEMA's implementing regulations at 44 C.F.R. Part 19.

XIII. Energy Policy and Conservation Act

Recipients must comply with the requirements of the Energy Policy and Conservation Act, Pub. L. No. 94-163 (1975) (codified as amended at 42 U.S.C. § 6201 *et seq.*), which

FY 2024 DHS STANDARD TERMS AND CONDITIONS

contain policies relating to energy efficiency that are defined in the state energy conservation plan issued in compliance with this Act.

XIV. False Claims Act and Program Fraud Civil Remedies

Recipients must comply with the requirements of the False Claims Act, 31 U.S.C. §§ 3729-3733, which prohibit the submission of false or fraudulent claims for payment to the Federal Government. (See 31 U.S.C. §§ 3801-3812, which details the administrative remedies for false claims and statements made.)

XV. Federal Debt Status

All recipients are required to be non-delinquent in their repayment of any federal debt. Examples of relevant debt include delinquent payroll and other taxes, audit disallowances, and benefit overpayments. (See OMB Circular A-129.)

XVI. Federal Leadership on Reducing Text Messaging while Driving

Recipients are encouraged to adopt and enforce policies that ban text messaging while driving recipient-owned, recipient-rented, or privately owned vehicles when on official government business or when performing any work for or on behalf of the Federal Government. Recipients are also encouraged to conduct the initiatives of the type described in Section 3(a) of E.O. 13513.

XVII. Fly America Act of 1974

Recipients must comply with Preference for U.S. Flag Air Carriers (a list of certified air carriers can be found at: [Certificated Air Carriers List | US Department of Transportation, https://www.transportation.gov/policy/aviation-policy/certificated-air-carriers-list](https://www.transportation.gov/policy/aviation-policy/certificated-air-carriers-list)) for international air transportation of people and property to the extent that such service is available, in accordance with the International Air Transportation Fair Competitive Practices Act of 1974, 49 U.S.C. § 40118, and the interpretative guidelines issued by the Comptroller General of the United States in the March 31, 1981, amendment to Comptroller General Decision B-138942.

XVIII. Hotel and Motel Fire Safety Act of 1990

Recipients must ensure that all conference, meeting, convention, or training space funded entirely or in part by federal award funds complies with the fire prevention and control guidelines of Section 6 of the Hotel and Motel Fire Safety Act of 1990, 15 U.S.C. § 2225a.

XIX. John S. McCain National Defense Authorization Act of Fiscal Year 2019

Recipients, subrecipients, and their contractors and subcontractors are subject to the prohibitions described in section 889 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019, Pub. L. No. 115-232 (2018) and 2 C.F.R. §§ 200.216, 200.327, 200.471, and Appendix II to 2 C.F.R. Part 200. The statute – as it applies to DHS recipients, subrecipients, and their contractors and subcontractors – prohibits obligating or expending federal award funds on certain telecommunications and video surveillance products and contracting with certain entities for national security reasons.

XX. Limited English Proficiency (Civil Rights Act of 1964, Title VI)

Recipients must comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*) prohibition against discrimination on the basis of national origin, which requires that recipients of federal financial assistance take reasonable steps to provide meaningful access to persons with limited English proficiency (LEP) to their programs and services. For additional assistance and information regarding language access obligations, please refer to the DHS Recipient Guidance: <https://www.dhs.gov/guidance-published-help-department-supported-organizations-provide-meaningful-access-people-limited> and additional resources on <http://www.lep.gov>.

FY 2024 DHS STANDARD TERMS AND CONDITIONS

XXI. Lobbying Prohibitions

Recipients must comply with 31 U.S.C. § 1352 and 6 C.F.R. Part 9, which provide that none of the funds provided under a federal award may be expended by the recipient to pay any person to influence, or attempt to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any federal action related to a federal award or contract, including any extension, continuation, renewal, amendment, or modification. Per 6 C.F.R. Part 9, recipients must file a lobbying certification form as described in Appendix A to 6 C.F.R. Part 9 or available on Grants.gov as the Grants.gov Lobbying Form and file a lobbying disclosure form as described in Appendix B to 6 C.F.R. Part 9 or available on Grants.gov as the Disclosure of Lobbying Activities (SF-LLL).

XXII. National Environmental Policy Act

Recipients must comply with the requirements of the National Environmental Policy Act of 1969, Pub. L. No. 91-190 (1970) (codified as amended at 42 U.S.C. § 4321 *et seq.*) (NEPA) and the Council on Environmental Quality (CEQ) Regulations for Implementing the Procedural Provisions of NEPA, which require recipients to use all practicable means within their authority, and consistent with other essential considerations of national policy, to create and maintain conditions under which people and nature can exist in productive harmony and fulfill the social, economic, and other needs of present and future generations of Americans.

XXIII. Nondiscrimination in Matters Pertaining to Faith-Based Organizations

It is DHS policy to ensure the equal treatment of faith-based organizations in social service programs administered or supported by DHS or its component agencies, enabling those organizations to participate in providing important social services to beneficiaries. Recipients must comply with the equal treatment policies and requirements contained in 6 C.F.R. Part 19 and other applicable statutes, regulations, and guidance governing the participations of faith-based organizations in individual DHS programs.

XXIV. Non-Supplanting Requirement

Recipients of federal awards under programs that prohibit supplanting by law must ensure that federal funds supplement but do not supplant non-federal funds that, in the absence of such federal funds, would otherwise have been made available for the same purpose.

XXV. Notice of Funding Opportunity Requirements

All the instructions, guidance, limitations, scope of work, and other conditions set forth in the Notice of Funding Opportunity (NOFO) for this federal award are incorporated by reference. All recipients must comply with any such requirements set forth in the NOFO. If a condition of the NOFO is inconsistent with these terms and conditions and any such terms of the Award, the condition in the NOFO shall be invalid to the extent of the inconsistency. The remainder of that condition and all other conditions set forth in the NOFO shall remain in effect.

XXVI. Patents and Intellectual Property Rights

Recipients are subject to the Bayh-Dole Act, 35 U.S.C. § 200 *et seq.* and applicable regulations governing inventions and patents, including the regulations issued by the Department of Commerce at 37 C.F.R. Part 401 (Rights to Inventions Made by Nonprofit Organizations and Small Business Firms under Government Awards, Contracts, and Cooperative Agreements) and the standard patent rights clause set forth at 37 C.F.R. § 401.14.

XXVII. Procurement of Recovered Materials

States, political subdivisions of states, and their contractors must comply with Section 6002 of the Solid Waste Disposal Act, Pub. L. No. 89-272 (1965) (codified as amended by the Resource Conservation and Recovery Act at 42 U.S.C. § 6962) and 2 C.F.R. §

FY 2024 DHS STANDARD TERMS AND CONDITIONS

200.323. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition.

XXVIII. Rehabilitation Act of 1973

Recipients must comply with the requirements of Section 504 of the Rehabilitation Act of 1973, Pub. L. No. 93-112 (codified as amended at 29 U.S.C. § 794), which provides that no otherwise qualified handicapped individuals in the United States will, solely by reason of the handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

XXIX. Reporting of Matters Related to Recipient Integrity and Performance

If the total value of any currently active grants, cooperative agreements, and procurement contracts from all federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of the federal award, then the recipient must comply with the requirements set forth in the government-wide Award Term and Condition for Recipient Integrity and Performance Matters located at 2 C.F.R. Part 200, Appendix XII, the full text of which is incorporated by reference.

XXX. Reporting Subawards and Executive Compensation

For federal awards that equal or exceed \$30,000, recipients are required to comply with the requirements set forth in the government-wide award term on Reporting Subawards and Executive Compensation set forth at 2 C.F.R. Part 170, Appendix A, the full text of which is incorporated by reference.

XXXI. Required Use of American Iron, Steel, Manufactured Products, and Construction Materials

Recipients of an award of Federal financial assistance from a program for infrastructure are hereby notified that none of the funds provided under this award may be used for a project for infrastructure unless:

- (1) all iron and steel used in the project are produced in the United States—this means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States;
- (2) all manufactured products used in the project are produced in the United States—this means the manufactured product was manufactured in the United States; and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and
- (3) all construction materials are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States.

The Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project but are not an integral part of the structure or permanently affixed to the infrastructure project.

FY 2024 DHS STANDARD TERMS AND CONDITIONS

Waivers

When necessary, recipients may apply for, and the agency may grant, a waiver from these requirements. The agency should notify the recipient for information on the process for requesting a waiver from these requirements.

- (a) When the Federal agency has determined that one of the following exceptions applies, the awarding official may waive the application of the domestic content procurement preference in any case in which the agency determines that:
- (1) applying the domestic content procurement preference would be inconsistent with the public interest;
 - (2) the types of iron, steel, manufactured products, or construction materials are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality; or
 - (3) the inclusion of iron, steel, manufactured products, or construction materials produced in the United States will increase the cost of the overall project by more than 25 percent.

A request to waive the application of the domestic content procurement preference must be in writing. The agency will provide instructions on the format, contents, and supporting materials required for any waiver request. Waiver requests are subject to public comment periods of no less than 15 days and must be reviewed by the Made in America Office.

There may be instances where an award qualifies, in whole or in part, for an existing waiver described at ["Buy America" Preference in FEMA Financial Assistance Programs for Infrastructure | FEMA.gov](#).

Definitions

The definitions applicable to this term are set forth at 2 C.F.R. § 184.3, the full text of which is incorporated by reference.

XXXII. SAFECOM

Recipients receiving federal financial assistance awards made under programs that provide emergency communication equipment and its related activities must comply with the SAFECOM Guidance for Emergency Communication Grants, including provisions on technical standards that ensure and enhance interoperable communications. The SAFECOM Guidance is updated annually and can be found at [Funding and Sustainment | CISA](#).

XXXIII. Terrorist Financing

Recipients must comply with E.O. 13224 and applicable statutory prohibitions on transactions with, and the provisions of resources and support to, individuals and organizations associated with terrorism. Recipients are legally responsible for ensuring compliance with the E.O. and laws.

XXXIV. Trafficking Victims Protection Act of 2000 (TVPA)

Recipients must comply with the requirements of the government-wide financial assistance award term which implements Trafficking Victims Protection Act of 2000, Pub. L. No. 106-386, § 106 (codified as amended at 22 U.S.C. § 7104). The award term is located at 2 C.F.R. § 175.15, the full text of which is incorporated by reference.

FY 2024 DHS STANDARD TERMS AND CONDITIONS

XXXV. Universal Identifier and System of Award Management

Recipients are required to comply with the requirements set forth in the government-wide financial assistance award term regarding the System for Award Management and Universal Identifier Requirements located at 2 C.F.R. Part 25, Appendix A, the full text of which is incorporated reference.

XXXVI. USA PATRIOT Act of 2001

Recipients must comply with requirements of Section 817 of the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001 (USA PATRIOT Act), which amends 18 U.S.C. §§ 175–175c.

XXXVII. Use of DHS Seal, Logo and Flags

Recipients must obtain written permission from DHS prior to using the DHS seals, logos, crests, or reproductions of flags, or likenesses of DHS agency officials. This includes use of DHS component (e.g., FEMA, CISA, etc.) seals, logos, crests, or reproductions of flags, or likenesses of component officials.

XXXVIII. Whistleblower Protection Act

Recipients must comply with the statutory requirements for whistleblower protections at 10 U.S.C § 470141 U.S.C. § 4712.